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RESPONSE TRANSMITTAL AND FEE AUTHORIZATION

| | | | | |
|---|---------------------------|-----------------------------|-------------------------------|------------------------|
| ATTORNEY DOCKET No.: 15162/03060 | | APPLICATION No.: 09/765,229 | | |
| FILING DATE: January 18, 2001 | CONFIRMATION No.: 7378 | CUSTOMER NO.: 24367 | EXAMINER: Michael J. Stahl | GROUP ART UNIT 2874 |
| INVENTOR(S): Koujiro SEKINE et al | | | | |
| TITLE OF INVENTION: OPTICAL FUNCTIONAL DEVICE AND OPTICAL INTEGRATED DEVICE | | | | |

COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

TRANSMITTED HERewith FOR THE ABOVE IDENTIFIED
PATENT APPLICATION IS:

- ☒ (A) A response to the Office Action dated: March 3, 2004
- ☒ (B) A Petition for Extension of Time
☒ for 1 month ☐ for 2 months ☐ for 3 months;
A Petition for Extension of Time, having been previously filed,
☐ for 1 month ☐ for 2 months ☐ for 3 months
- ☐ (C) A Notice of Appeal. \$
- ☐ (D) An Appellant's Brief on Appeal. \$
- ☐ (E) Other: \$
- ☐ (F) A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27
Small entity status under 37 CFR § 1.27 has been previously established
- ☐ The claims fee, if any, has been calculated as shown below

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

June 23, 2004

Date of Deposit

Douglas A. Sorensen

Name of Applicant, Assignee, or Registered Representative

Signature

June 23, 2004

Date of Signature

| | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NO. PREVIOUSLY PAID FOR | PRESENT EXTRA |
|---|---|-------|---------------------------------------|------------------|
| TOTAL | | MINUS | | |
| INDEP. | | MINUS | | |
| FIRST PRESENTATION OF MULTIPLE DEP. CLAIM | | | | |

| SMALL ENTITY | |
|--------------------|-----------|
| RATE | ADD'L FEE |
| x \$9 | \$ |
| x \$43 | |
| + \$145 | |
| TOTAL ADD'L FEE | \$ 0.00 |

| LARGE ENTITY | |
|--------------------|-----------|
| RATE | ADD'L FEE |
| x \$18 | \$ |
| x \$86 | |
| + \$290 | |
| TOTAL ADD'L FEE | \$ 0.00 |

OR

- ☒ Please charge \$110.00 to Sidley Austin Brown & Wood LLP's Deposit Account No. 18-1260, which includes
☐ the amount of \$ for the claims fee calculated above AND/OR
☒ the amount of \$110.00 for the fee for item(s) ☒ (B) ☐ (C) ☐ (D) ☐ (E) ☐ (F)
- ☒ Please charge any additional fees (other than issue fee) required during the pendency of this application to Deposit Account No. 18-1260. Please credit any overpayment to Deposit Account No. 18-1260.
- ☒ A duplicate copy of this Response Transmittal and Fee Authorization is enclosed.

June 23, 2004

SIDLEY AUSTIN BROWN & WOOD LLP
717 N. Harwood, Suite 3400
Dallas, Texas 75201
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By:

Douglas A. Sorensen
Attorney for Applicants
Registration No. 31,570